### Verified squared: does critical software deserve verified tools?

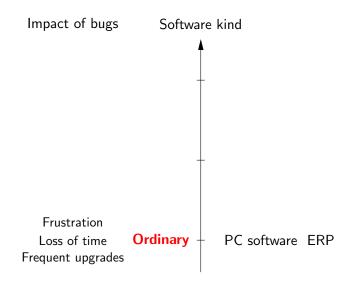
Xavier Leroy

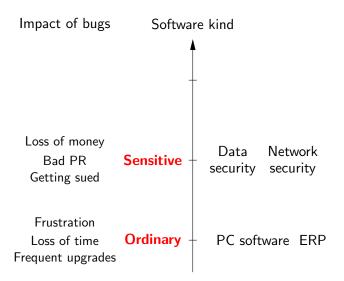
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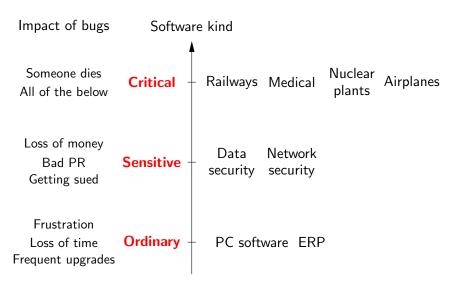
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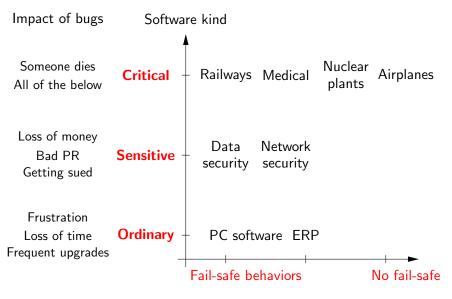




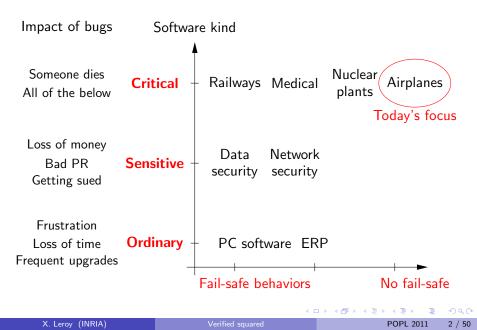
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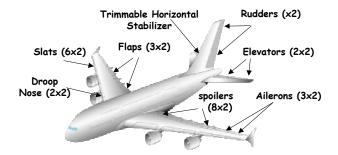
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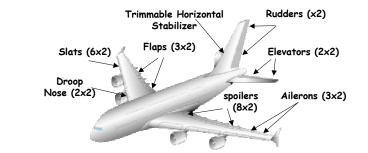


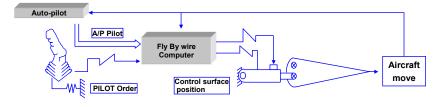
### Running example: fly-by-wire software



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### Running example: fly-by-wire software





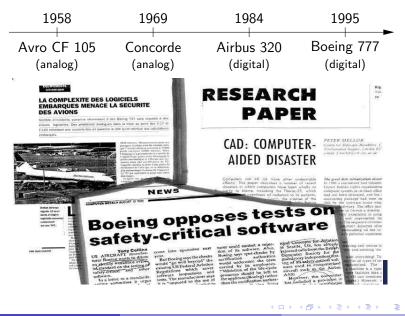
(G. Ladier)

X. Leroy (INRIA)

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### Timeline



X. Leroy (INRIA)

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### Functions of FBW software

| High AOA   | Load Factor         | Pitch Attitude |  |  |
|------------|---------------------|----------------|--|--|
| Protection | Limitation          | Protection     |  |  |
| NORMAL LAW |                     |                |  |  |
| High Speed | Flight Augmentation | Bank Angle     |  |  |
| Protection | (Yaw)               | Protection     |  |  |

| Low Speed<br>Stability  | Load Factor<br>Limitation |  |  |  |
|-------------------------|---------------------------|--|--|--|
| ALTERNATE LAW           |                           |  |  |  |
| High Speed<br>Stability | Yaw Damping<br>Only       |  |  |  |

|  | Load Factor<br>Limitation |  |  |  |
|--|---------------------------|--|--|--|
| ABNORMAL ALTERNATE LAW w/o Speed Stability |                           |  |  |  |
|  | Yaw Damping<br>Only       |  |  |  |

| DIRECT LAW |  |  |  |  |
|------------|--|--|--|--|
|            |  |  |  |  |

Execute pilot's commands.

Active damping of oscillations.

Flight assistance: keep aircraft within safe flight envelope.

X. Leroy (INRIA)

Verified squared

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### Anatomy of FBW systems

Two-part software:

- A minimalistic operating system (C) (Boot, self-tests, communications over buses, static scheduling of periodic tasks. Generally hand-crafted, sometimes off-the-shelf.)
- Mostly: control-command code.

Hard real-time.

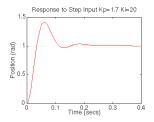
100k – 1M LOC of C code, but mostly generated from block diagrams.

Asymmetric redundancy (e.g. 3 primary units, 3 secondary).

(Scade)

### Control-command laws

"Hello world" example: the PID controller.



Error e(t) = desired position(t) - current position(t).

$$egin{aligned} \mathsf{Action} \ & a(t) = \mathcal{K}_{p} e(t) + \mathcal{K}_{i} \int_{0}^{t} e(t) dt + \mathcal{K}_{d} rac{d}{dt} e(t) \ & ( ext{Proportional}) & ( ext{Integral}) & ( ext{Derivative}) \end{aligned}$$

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## Implementing a control law

### 

Software (the current favorite):

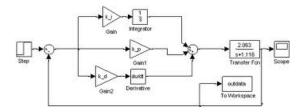
```
previous_error = 0; integral = 0
loop forever:
    error = setpoint - actual_position
    integral = integral + (error*dt)
    derivative = (error - previous_error)/dt
    output = (Kp*error) + (Ki*integral) + (Kd*derivative)
    previous_error = error
    wait(dt)
```

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## Block diagrams (Simulink, Scade)

Such code is rarely hand-written, more often generated from diagrams:



For Scade, a graphical notation for the Lustre reactive language:

```
error = setpoint - position
integral = (0 -> pre(integral)) + error * dt
derivative = (error - (0 -> pre(error))) / dt
output = Kp * error + Ki * integral + Kd * derivative
```

defining time-indexed sequences via equations.

X. Leroy (INRIA)

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### Lustre: a successful domain-specific language

LUSTRE: A declarative language for programming synchronous systems\*

rogramming synchronous systems\*

P. Caspi D. Pilaud N. Halbwachs J. A. Plaice Laboratoire "Circuits et Systèmes" Laboratoire de Génie Informatique

BP68, 38402 St Martin d'Hères, FRANCE

Received 10/15/86

#### Abstract

LUSTRE is a synchronous data-flow language for programming systems which interact with their environments in real-time. After an informal presentation of the language, we describe its semantics by means of structural inference rules. Moreover, we show how to use this semantics in order to geneate efficient sequential code, namely, a finite state automaton which represents the control of the program. Formal rules for program transformation are also presented.

- Both in systems of equations and in operator nets, there is neither the notion of control nor that of sequentiality. The only constraints on the evaluation order arise from the dependencies between variables. As a consequence, any implementation, be it sequential or highly parallel, can be easily derived.
- As pointed out above, the considered equations are generally time invariant: variables may be considered to be functions of time, and X=E means that at each instant t, x<sub>t</sub> = e<sub>t</sub>. Hence, such models are likely to
- Matches engineering practice (incl. visual syntax).
- Clean semantics.
- Low expressiveness: a language of boxes, wires, latches, and clocks.
- High potential for verification (model-checking, and more) and supercompilation (automata-based, and more).

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(POPL 1987.)

## The qualification process (DO-178)





Rigorous validation:

- Review (qualitative)
- Analysis (quantitative)
- Testing (huge amounts, from unit tests to flying the plane) Conducted at multiple levels, from designs to final product. Meticulous development process; extensive documentation.

## Then, a miracle happens:

The aircraft industry shows practical, economic interest in formal, tool-assisted verification of software!

(Not so much for stronger guarantees, but primarily to save on testing.)

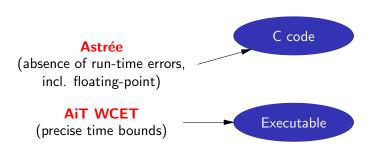




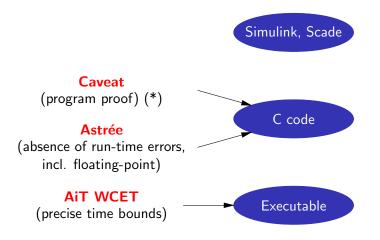
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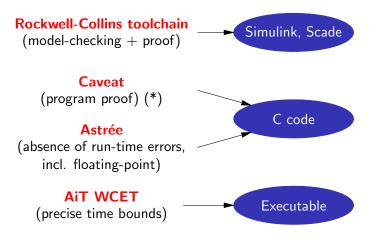


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(\*) Motto: "unit proofs as a replacement for unit tests"



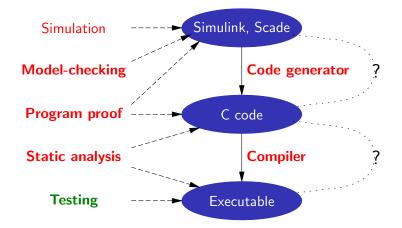
(\*) Motto: "unit proofs as a replacement for unit tests"

# Round of applause!

(For putting into practice Hoare logics and abstract interpretation, long considered as purely academic exercises)

But...

### Trust in formal verification



Are verification tools semantically sound? Are compilers and code gen. semantics-preserving?

# Can you trust your compiler?

-

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### Miscompilation happens

NULLSTONE isolated defects [in integer division] in twelve of twenty commercially available compilers that were evaluated.

http://www.nullstone.com/htmls/category/divide.htm

We tested thirteen production-quality C compilers and, for each, found situations in which the compiler generated incorrect code for accessing volatile variables.

E. Eide & J. Regehr, EMSOFT 2008

We created a tool that generates random C programs, and then spent two and a half years using it to find compiler bugs. So far, we have reported more than 290 previously unknown bugs to compiler developers. Moreover, every compiler that we tested has been found to crash and also to silently generate wrong code when presented with valid inputs.

J. Regehr et al, 2010

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### The DO-178B take on the issue

For code generators:

- Either the generator is qualified at the same level of assurance as the application itself (strict coding rules; meticulous dev. process; extensive testing)
- Or the generated code must be qualified as if hand-written (manual reviews, traceability, even more testing).

For compilers, neither option is realistic, hence various compromises:

- Manual review of generated asm on small representative codes.
- Naive, unoptimized compilation.

Why not formally verify the compiler itself?

After all, compilers have simple specifications:

If compilation succeeds, the generated code should behave as prescribed by the semantics of the source program.

An idea as old as this speaker...

"Old pots make tasty soups" (French proverb)

John McCarthy James Painter<sup>1</sup>

### CORRECTNESS OF A COMPILER FOR ARITHMETIC EXPRESSIONS<sup>2</sup>

1. Introduction. This paper contains a proof of the correctness of a simple compiling algorithm for compiling arithmetic expressions into machine language.

The definition of correctness, the formalism used to express the description of source language, object language and compiler, and the methods of proof are all intended to serve as prototypes for the more complicated task of proving the correctness of usable compilers. The ultimate goal, as outlined in references [1], [2], [3] and [4] is to make it possible to use a computer to check proofs that compilers are correct.

Mathematical Aspects of Computer Science, 1967

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### "Plus ça change, ..." (American saying)

З

# Proving Compiler Correctness in a Mechanized Logic

R. Milner and R. Weyhrauch Computer Science Department Stanford University

#### Abstract

We discuss the task of machine-checking the proof of a simple compiling algorithm. The proof-checking program is LCF, an implementation of a logic for computable functions due to Dana Scott, in which the abstract syntax and extensional semantics of programming languages can be naturally expressed. The source language in our example is a simple ALGOL-like language with assignments, conditionals, whiles and compound statements. The target language is an assembly language for a machine with a pushdown store. Algebraic methods are used to give structure to the proof, which is presented only in outline. However, we present in full the expression-compiling part of the algorithm. More than half of the complete proof has been machine checked, and we anticipate no difficulty with the remainder. We discuss our experience in conducting the proof, which indicates that a large part of it may be automated to reduce the human contribution.

Machine Intelligence (7), 1972.

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### A déjà vu...

**APPENDIX 2: command sequence for McCarthy-Painter lemma** 

```
GOAL Ve spilswise e::MT(compe e,sp)Esvof(sp)i((MSE(e,svof sp))&pdof(sp)).
     Ve.1swfse elilswft(comps e)ETT,
     Ve. Iswfae ell(count(compe e)=0)ETT;
TRY 1 INDUCT 561
TRY 1 SIMPL:
 LABEL INDHYP:
 TRY 2 ABSTRI
  TRY 1 CASES Wfsefun(fie);
  LABEL TTI
   TRY 1 CASES type e=_N;
    TRY 1 SIMPL BY , FMT1, FMSE, FCOMPE, FISWFT1, FCOUNT,
TRY 21SS-, TTISIMPL, TTIGED;
    TRY 3 CASES typ = EI
TRY 1 SUBST , FCOMPEI
      SS-,TTISIMPL,TTIUSE BOTH3 -:SS+,TT;
INCL-,1:SS+-!INCL--,2:SS+-!INCL--,3:SS+-;
       TRY 1: CONJI
        TRY 1 SIMPL:
         TRY 1 USE COUNTIN
           TRY 11
          APPL , INDHYP+2, grg1of el
          LABEL CARGII
          SIMPL-JOEDJ
          TRY 2 USE COUNT1;
           TRY 11
```

(Even the proof scripts look somewhat familiar)

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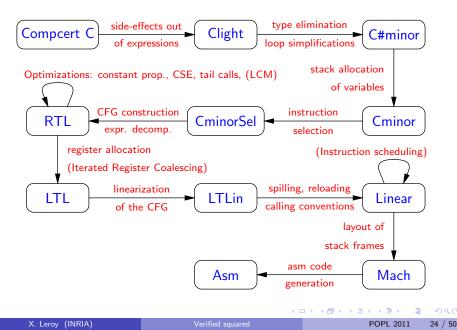
### The CompCert project (X.Leroy, S.Blazy, et al)

Develop and prove correct a realistic compiler, usable for critical embedded software.

- Source language: a very large subset of C.
- Target language: PowerPC/ARM/x86 assembly.
- Generates reasonably compact and fast code
   ⇒ careful code generation; some optimizations.

Note: compiler written from scratch, along with its proof; not trying to prove an existing compiler.

### The formally verified part of the compiler



### Formally verified in Coq

After 50 000 lines of Coq and 4 person.years of effort:

```
Theorem transf_c_program_is_refinement:
  forall p tp,
  transf_c_program p = OK tp ->
  (forall beh, exec_C_program p beh -> not_wrong beh) ->
  (forall beh, exec_asm_program tp beh -> exec_C_program p beh).
```

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Compiler verification patterns (for each pass)

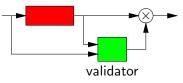


transformation



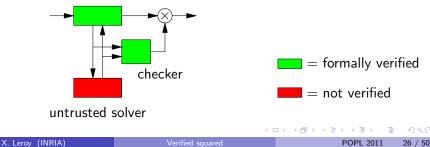
### Verified translation validation

transformation



### External solver with verified validation

transformation



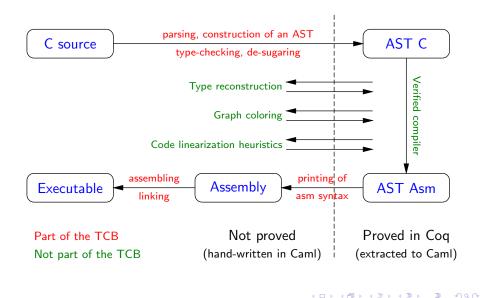
### Programmed in Coq

Compilation algorithms written in Coq's specification language, in pure functional style.

Executable via automatic extraction to Caml.

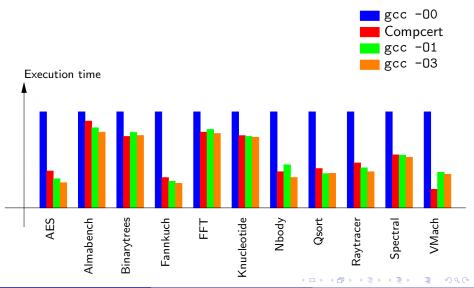
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### The whole Compcert compiler



## Performance of generated code

(On a PowerPC G5 processor)



X. Leroy (INRIA)

Verified squared

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#### Current status

The formal verification of realistic compilers is feasible. (Within the limitations of contemporary proof assistants.)

Much work remains:

• Shrinking the TCB

(e.g. verified parsing, validated assembling & linking).

- More optimizations.
- Front-ends for other languages.

(see T. Ramananandro's talk for elements of C++).

• Concurrency!

(see J. Sevcik's talk & A. Appel et al's Verified Software Toolchain).

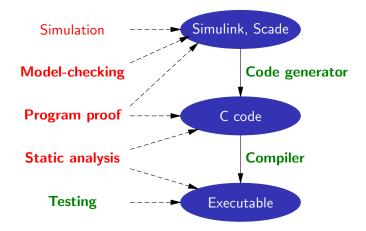
• Connections with source-level verification (next).

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## Can you trust your verification tools?

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## Trust in formal verification (again)



X. Leroy (INRIA)

Verified squared

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#### Requirements on verification tools

(Static analyzers, program provers, model-checkers)

When used as sophisticated bug-finders: everything goes. (Unsound static analyzers have their uses, e.g. Coverity.)

When used to establish properties of programs and remove the corresponding tests: evidence of soundness is required.

DO-178-B: lightweight qualification for verification tools, as they cannot introduce bugs, just miss the existence of bugs. But why stop here?

A holistic effect with compiler verification

Stronger correctness results:

```
forall p tp,
transf_c_program p = OK tp ->
(forall beh, exec_C_program p beh -> not_wrong beh) ->
(forall beh, exec_asm_program tp beh -> exec_C_program p beh).
```

#### Simpler, more precise verification tools:

they know exactly how the compiler implements unspecified behaviors of C.

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A holistic effect with compiler verification

#### Stronger correctness results:

```
forall p tp,
transf_c_program p = OK tp -> Astree_result p = true ->
(forall beh, exec_C_program p beh -> not_wrong beh) ->
(forall beh, exec_asm_program tp beh -> exec_C_program p beh).
```

#### Simpler, more precise verification tools:

they know exactly how the compiler implements unspecified behaviors of C.

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#### Example: static analysis by abstract interpretation The orthodox presentation:

A collecting semantics + A Galois connection  $(\mathcal{P}(S), \subseteq) \stackrel{^{\prime}}{\hookrightarrow} (A, \sqsubseteq)$ 

From there, abstract operations can be calculated in a correct-by-construction way.

5 — When  $A = A_1 b A_2$  is a binary operation, we have  $\alpha^{\circ}(\operatorname{Faexp}[A_1 \ b \ A_2])r$  $= \alpha(\{v \mid \exists \rho \in \dot{\gamma}(r) : \rho \vdash A_1 \ b \ A_2 \Longrightarrow v\})$ =  $7 \text{def.}(27) \text{ of } \rho \vdash A_1 \triangleright A_2 \Rightarrow v$  $\alpha(\{v_1 \bowtie v_2 \mid \exists \rho \in \dot{\gamma}(r) : \rho \vdash A_1 \Longrightarrow v_1 \land \rho \vdash A_2 \Longrightarrow v_2\})$  $\Box$   $\frac{1}{\alpha}$  monotone (5)  $\alpha(\{v_1 \underline{b} v_2 \mid \exists \rho_1 \in \dot{\gamma}(r) : \rho_1 \vdash A_1 \mapsto v_1 \land \exists \rho_2 \in \dot{\gamma}(r) : \rho_2 \vdash A_2 \mapsto v_2\})$  $\Box$   $(\gamma \circ \alpha \text{ is extensive (6)}, \alpha \text{ is monotone (5)})$  $\alpha(\{v_1 \mid b \mid v_2 \mid v_1 \in \gamma \circ \alpha(\{v \mid \exists \rho \in \dot{\gamma}(r) : \rho \vdash A_1 \mapsto v\}) \land$  $v_2 \in \gamma \circ \alpha(\{v \mid \exists \rho \in \dot{\gamma}(r) : \rho \vdash A_2 \Longrightarrow v\})\})$ (induction hypothesis (33),  $\gamma$  (4) and  $\alpha$  (5) are monotone)  $\alpha(\{v_1 \mid v_2 \mid v_1 \in \gamma(\operatorname{Faexp}^{\mathbb{I}} [A_1]]r) \land v_2 \in \gamma(\operatorname{Faexp}^{\mathbb{I}} [A_2]]r)\})$ (by defining b<sup>\*</sup> such that b<sup>\*</sup>( $p_1, p_2$ )  $\supseteq \alpha(\{v_1 \ b \ v_2 \ | \ v_1 \in \gamma(p_1) \land v_2 \in \gamma(p_2)\})$ )  $b^{\circ}(\operatorname{Faexp}^{\circ} \llbracket A_1 \rrbracket r, \operatorname{Faexp}^{\circ} \llbracket A_2 \rrbracket r)$ by defining Faexp<sup>b</sup>  $[A_1 \ b \ A_2] r \stackrel{\Delta}{=} b^{b} (Faexp^{b} [A_1] r, Faexp^{b} [A_2] r)$ (P. Cousot) Faexp  $[A_1 b A_2]r$ .

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#### Towards a Coq mechanization

(D. Pichardie, 2005, 2008; D. Cachera and D. Pichardie, 2010)

Problem:  $\alpha$  functions not computable; poor support for calculational style. Solution 1: forget about  $\alpha$ ; use relations:

 $\vdash$  concrete-thing  $\in$  abstract-thing

Solution 2: use calculations on paper and re-prove resulting definitions:

$$\vdash \mathsf{v}_1 \in \mathsf{A}_1 \land \vdash \mathsf{v}_2 \in \mathsf{A}_2 \implies \vdash \mathsf{v}_1 + \mathsf{v}_2 \in \mathsf{A}_1 + {}^{\#} \mathsf{A}_2$$

Much heroic Coq work remains:

- Many, many abstract operations to prove.
- Modular construction of abstract domains.
- Fixpoints, with widening and narrowing.
- Their termination.

## Cutting more corners

(D. Pichardie et al, 2010)

Forget about mechanically proving termination. (Coq + classical logic can reason over partial functions.)

Validate *a posteriori* a post-fixpoint computed by untrusted code. (Also reduces the number of abstract operations to prove.)



### Summary

Medium term: good hope for a verified, non-toy static analyzer. (  $\approx 1/10$  of Astrée, just like CompCert  $~\approx 1/10$  of gcc.)

Similar work in progress on verified program provers. (E.g. A. Appel et al's *Verified Software Toolchain*.)

A vision: what you compile is *exactly* what you verified.

This is a public service announcement:

# Floating-point needs work!

X. Leroy (INRIA)

Verified squared

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#### Floating-point arithmetic

A. S. Householder (1904–1993):

"It makes me nervous to fly an airplane since I know they are designed using floating-point arithmetic."

#### Floating-point arithmetic

A. S. Householder (1904–1993):

"It makes me nervous to fly an airplane since I know they are designed using floating-point arithmetic."

X. Leroy (1968–) and many, many others:

"It makes us nervous to fly an airplane since we know they OPERATE using floating-point arithmetic."

### Floating-point 101

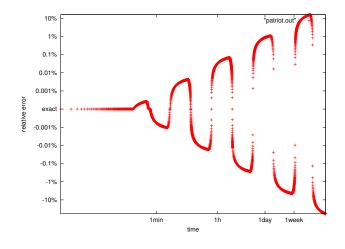
## $m \cdot 2^e$ with |m| < 1

- Limited precision for m (e.g. 23 or 52 digits)  $\Rightarrow$  inaccuracies (*Patriot missile failure: 28 casualties*)
- Limited range for  $e \Rightarrow$  overflow, underflow

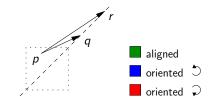
(Ariane 5 maiden flight: \$500M)

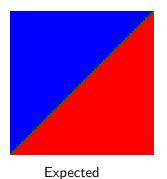
• Special values:  $+\infty$ ,  $-\infty$ , +0.0, -0.0, Not-a-Number.

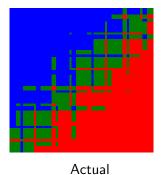
Accumulated rounding errors (a.k.a. the Patriot bug) float t = 0.0; while(1) { ... t = t + 0.1; ... }



#### Catastrophic cancellation







(G. Melquiond)

X. Leroy (INRIA)

Verified squared

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### Unfounded compiler optimizations

A post-it note for compiler writers:

X Thou shalt not assume...

$$x == x$$

$$x \le y \Leftrightarrow \neg(x > y)$$

$$x == y \Rightarrow 1/x == 1/y$$

$$x / 10 == x * 0.1$$

x + (y + z) == (x + y) + z

x \* (y \* z) == (x \* y) \* z

 $rnd_{64}(rnd_{80}(op)) == rnd_{64}(op) \quad rnd_{32}(rnd_{64}(op)) == rnd_{32}(op)$ 

(please stick it to your monitor)

✓ although you can assume...

|   | x  | ==  | у          | $\Leftrightarrow$ | > x  | - | у  | ==    | 0          |  |
|---|----|-----|------------|-------------------|------|---|----|-------|------------|--|
| x | <= | = у | ' <i>\</i> | × ×               | : <  | у | V  | x =:  | = у        |  |
|   | x  | ==  | у          | $\Rightarrow$     | × 1+ | x | == | 1+    | у          |  |
|   | x  | /   | 8          | ==                | = x  | * | 0  | . 125 | 5          |  |
|   |    | x   | +          | у                 | ==   | у | +  | x     |            |  |
|   |    | x   | *          | у                 | ==   | у | *  | x     |            |  |
| , |    |     | ,          | 1.                |      |   |    | ,     | $(\ldots)$ |  |

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### Wishy-washy language definitions

E.g. ISO C99:

6.3.1.8[2]: The values of floating operands and of the results of floating expressions may be represented in greater precision and range than that required by the type; the types are not changed thereby.

Gives the C compiler a lot of rope to hang the programmer with.

### Wishy-washy language definitions

E.g. ISO C99:

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Gives the C compiler a lot of rope to hang the programmer with.

Witness GCC bug #323 "optimized code gives strange floating point results": reported in 2000, dozens of duplicates, 179 comments, still not acknowledged...

...and responsible for PHP's strtod() function not terminating ( $\rightarrow$  denial of service on many Web sites).

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## Time for sledgehammer theorems

#### Theorem (Boldo-Nguyen)

Let  $\odot$  be an operation among addition, subtraction, multiplication, division, square root, negation and absolute value.

- Let  $\Box$  be the rounding mode chosen by the compiler (among 64, 80, 80-then-64, or exact).
- Let  $x = \odot(y, z)$  be the exact result of the operation.
- Then, the computed result  $\Box(x)$  is such that
  - If  $|x| \ge 2^{-1022}$  then  $\Box(x) \in [x \pm 2050 \cdot 2^{-64} \cdot |x|] \setminus ] 2^{-1022}, 2^{-1022}[$
  - If  $|x| < 2^{-1022}$  then  $\Box(x) \in [x \pm 2049 \cdot 2^{-1086}] \cap [-2^{-1022}, 2^{-1022}]$

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## Verification of floating-point code

#### By static analysis:

- Non-relational (intervals): not too hard (widen intervals conservatively).
- Relational (polyhedra, octogons): very delicate (mechanized proofs would be highly welcome).
- Specialized (Fluctuat): scaling issues.

#### By program proof:

- Difficult proofs, even with the help of dedicated decision procedures (e.g. G. Melquiond's GAPPA).
- Specifications are unclear to begin with!

#### Proving a typical Scade symbol: tabulated function

```
double tabulate(int N, double Xs[N+1], double Ys[N+1], double x)
{
    int l = 0, u = N;
    while (l + 1 < u) {
        int m = (l + u) / 2;
        if (x < Xs[m]) u = m; else l = m;
    }
    double p = (x - Xs[l]) / (Xs[u] - Xs[l]);
    return (1 - p) * Ys[l] + p * Ys[u];
    </pre>
```

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    double p = (x - Xs[1]) / (Xs[u] - Xs[1]);
    return (1 - p) * Ys[1] + p * Ys[u];
}</pre>
```

Binary search: business as usual

A B A A B A

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    return (1 - p) * Ys[1] + p * Ys[u];
}</pre>
```

What can we say about the return value? Not much, really!

Post: ...  $\land \min(Ys[i], Ys[i+1]) \le result \le \max(Ys[i], Ys[i+1])$ 

| Xs           | s[i]  | x                 | Xs[i+1]            | Ys[i]           | $\mathtt{Ys}[i+1]$ | р   | result         |         |
|--------------|-------|-------------------|--------------------|-----------------|--------------------|-----|----------------|---------|
| -2           | 1022  | 2 <sup>1022</sup> | $1.5\cdot2^{1022}$ | _               | _                  | NaN | NaN            |         |
|              | 0     | 0.5               | 1                  | $2^{-1074}$     | $2^{-1074}$        | 0.5 | 0<br>(=> (=> = |         |
| X. Leroy (II | NRIA) |                   | Ve                 | erified squared |                    |     | POPL 2011      | 48 / 50 |

# In closing...

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#### In closing...

The formal verification of development and verification tools for critical software

- ... is a fascinating challenge,
- ... appears within reach,
- ... and could have practical importance.

Feel free to join!